

## PART B - FEE(S) TRANSMITTAL

12 26-06

B/8  
C.C.Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
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DEC 22 2006

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

22474 7590 09/27/2006

DOUGHERTY CLEMENTS

1901 ROXBOROUGH ROAD

SUITE 300

CHARLOTTE, NC 28211

12/28/2006 TTRAN2 00000024 10789696

01 FC:1501 1400.00 OP  
02 FC:1504 300.00 OP

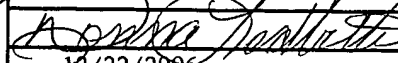
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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Donna Ledbetter

(Depositor's name)



(Signature)

12/22/2006

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/789,696	02/27/2004	Stephen M. Potter	3566	9300

TITLE OF INVENTION: PRE-TREATMENT PROCESS FOR FEED MATERIAL FOR DIRECT REDUCTION PROCESS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	12/27/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
MCNELIS, KATHLEEN A	1742	075-488000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 CLEMENTS WALKER

2 Richard A. Walker

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Rio Tinto Brasil

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Brazil

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

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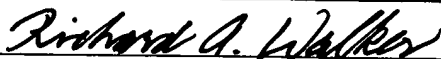
- ☐ A check is enclosed.
- ☒ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature



Date 12/22/2006

Typed or printed name

Richard A. Walker

Registration No. 27,415

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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# EXPRESS MAIL CERTIFICATE

Express Mail No.: - EV 942734982 US

Date of Deposit: - December 22, 2006

Document(s): - PART B – FEE(S) Transmittal (PTOL-85)  
- Credit Card Payment Form (PTO-2038)

Application No.: - 10/789,696

Filing Date: - February 27, 2004

Applicant(s): - Stephen M. POTTER, et al.

Title: - PRE-TREATMENT PROCESS FOR FEED MATERIAL FOR  
DIRECT REDUCTION PROCESS

Examiner: - Kathleen A. McNellis

Art Unit: - 1742

Attorney Docket No.: - 3566

I hereby certify that the above-referenced documents are being/have been deposited with the United States Postal Service "Express Mail - Post Office to Addressee" in accordance with 37 CFR 1.10 on the above-referenced date and are being/have been addressed to: **Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 USA.**

  
Donna Ledbetter  
Administrative Assistant